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Abstract: Model transformations are the core of the MDE methodology. They can be expressed using various languages. One of them is QVT, the OMG standard for transformation languages. QVT also provides a traceability mechanism. In general, a trace can be used for many purposes. In this paper, we show the limitations of the trace provided by QVT through different scenarios. Furthermore, based on the QVT language elements, we highlight model transformation concepts that would require consideration.

We also propose an alternative trace that takes into account these concepts. It allows us to gather information not provided by the QVT trace and thus fully performs the scenarios. The proposed trace is language independent and can be used without perturbing the QVT transformation execution or the trace generation/exploitation by the engine.

Keywords: MDE, QVT, Traceability, Model Transformation

1 Introduction

The Model Driven Engineering methodology (MDE) sets the models, metamodels and transformations as first class citizens in the software development process. It relies on different standards, one of which is Query/View/Transformation (QVT) [Obj07], dedicated to model transformations. The QVT standard describes the syntax and the semantics of three different sub-languages for transforming models. The *Relations* language is declarative, the *Core* language is fully imperative and the *Operational Mapping* language is hybrid. Each of these language differently conceives transformations and adopts its own rule-based syntax. Nevertheless, they all produce almost the same trace.

In the context of model transformations, the trace links the input elements which were useful to create the output elements. The trace can be used for many different purposes, such as impact analysis (i.e., to identify the effect of changing one artifact on related artifacts), code regeneration (i.e., to automatically regenerate previously generated code that is related to a design artifact), visualization, flexible process modeling [PDK⁺10]. Usually, these tasks are implemented for a specific transformation language and make difficult the use of many paradigms. In process where many transformation languages are used, when the traces are handled, a common formalism is searched to limit the algorithms rewriting. Mostly, the standard is used as such. However, for traceability purposes, the standard is not always the better choice.

The QVT standard describes a trace which is recorded all along the transformation execution. This trace can be serialized at the end of the transformation execution in order to be *post-mortem* inspected. Nevertheless, its main purpose is to support the object resolution mechanism, indispensable to perform the transformation. The trace provided by QVT is thus mainly internally used by the engine and is not well adapted to the purposes previously identified.

In this paper, we show in various scenarios that the basic information required by most activities based on trace exploitation are not provided by the QVT trace. These observations concretely rely on the QVTO [Bor07] implementation of the QVT standard *Operational Mapping* language. We thus present a language-independent alternative to the QVT trace that is dedicated to the previously cited activities and provides the required information.

Section 2 introduces a case study and four scenarios requiring trace information. Section 3 shows, by applying the case study with the QVT trace that critical information is missing. From these limitations, in section 4 we propose an alternative. Section 5 corresponds to the application of the case study using our alternative trace. Finally, related works are presented in section 6 whereas conclusions are drawn in section 7.

2 Case Study

The case study introduced in this section is the main thread of the paper. It refers to the “UML to Marte” transformation context. After briefly explaining the purpose of the transformation and introducing the handled metamodel, we present the transformation written in the QVT *Operational Mapping* language.

2.1 The UML to Marte Transformation

The “UML to Marte” transformation is very classic not because of the manipulated domains, but because it establishes a bridge between the UML world and the business world. Concretely, the input metamodel is UML enhanced with the Marte profile [OMG08], and the output metamodel is the Marte metamodel. The Marte profile is an OMG standard dedicated to the design and the analysis of real time embedded systems. This transformation is relevant, because it is complex enough to not be reduced to a *one-to-one* concept mapping. Moreover, the transformation is not too complicated to understand, even for non-business experts.

2.2 Input/Output Metamodels of the Transformation

The Marte profile, input of the transformation is rich in concepts. We focus on an excerpt, without detailing all the concepts. This excerpt presented in Figure 1(a) contains three main concepts: *Allocate*, *Distribute* and *Tiler*. The stereotypes *Allocate* and *Distribute* extend the *Abstraction* UML element. In the same way, *Tiler* extends the *Connector* UML element. The three stereotypes own several tagged values adding information to the original UML elements. These tagged values are typed using datatypes defined in the profile, such as *IntegerVector*. Semantics details of these stereotypes/tagged values are out the scope of this paper.

Once again, due to the large amount of elements contained in the Marte metamodel, output

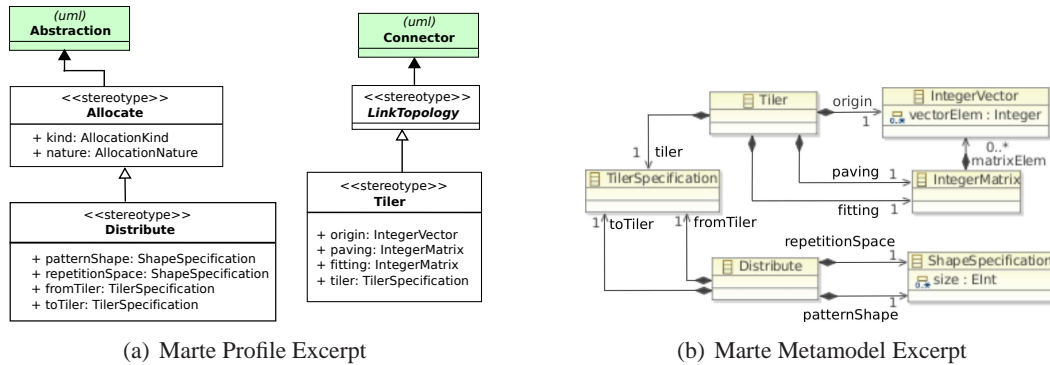


Figure 1: Input and output metamodels

metamodel of the transformation, Figure 1(b) only gathers the elements relative to those presented in Figure 1(a).

As usual in such a transformation from a profile to the corresponding metamodel, concepts with the same semantics are found in the input and the output. Thus, *Tiler* and *Distribute* are designed in the Marte metamodel as specific entities. However, in the Marte metamodel, *origin* is considered as compositional relationship upon the *IntegerVector* element. The other relationships in the metamodel excerpt are designed using the same way.

2.3 The UML to Marte Transformation Expressed in QVTO

The “UML to Marte” transformation is quite huge: 1400 lines of QVTO code split into 98 rules. Only pieces of code relative to the elements previously presented are reproduced here. The presented rules have been pruned to the minimal. They highlight the mapping between UML and Marte concepts, the transformation of properties and the recourse to *helper*. Indeed, this section has two main goals. On the one hand, it provides enough material to define model synchronization scenario. On the other hand, it precisely describes the transformation.

Figure 2(a) shows an excerpt of the *DistributedMapping mapping* operation dealing with *Distribute*. The rule signature (line 54) exposes that this *mapping* is applied on instance of the *Abstraction* concept (owned by the UML metamodel) and produces instances of the *Distribute* concept (owned by the RSM package of the Marte metamodel). The *when* section (line 55 to 59) expresses a guard that specifies the condition to execute the rule. This guard imposes that the *Abstraction* element must be stereotyped as *Distribute*. Finally, the *name* property of the created *Distribute* has the same value than the *name* property of the UML *Abstraction* (line 64).

Figure 2(b) presents an excerpt of the *toTiler* mapping (line 103 to 119) which creates a *Tiler* from a *Connector* stereotyped *Tiler*. The *origin* property owned by the *Connector* is an *IntegerVector*. It is created from the *origin* tagged value contained by the *Tiler* stereotype (line 114 to 116) by calling the *str2Vector* *helper*. According to the *str2Vector* *helper* signature (line 121), an *IntegerVector* instance is created from a *String*. This *helper* performs a specific parsing which is not described here.

```

54. mapping UML::Abstraction::DistributedMapping() : RSM::Distribute
55. when {
56.     self
57.     getAppliedStereotype('MARTE::MARTE_Annexes::RSM::Distribute')
58.     != null
59. }
60. {
61.     ...
64.     name := self.name;
65.     ...
67. }

103. mapping UML::Connector::toTiler() :RSM::linkTopology::Tiler
104. when {
105.     not self
106.     .getAppliedStereotype('RSM::Tiler')
107.     .oclIsUndefined()
108. }
109. {
110.     ...
114.     origin:=self
115.         .getValue(stereotype, 'origin')
116.         .oclAsType(String).str2Vector();
117.     ...
119. }
120. }
121. helper String::str2Vector() : Lib::IntegerVector
122. {
123.     ...
130. }
    
```

(a) QVTO excerpt: *DistributedMapping* rule

(b) QVTO excerpt: *toTiler* rule and *Str2Vector* helper

Figure 2: Excerpts of the UML to Marte transformation using QVTO

2.4 Scenarios for Model Synchronization

To illustrate the QVT trace limitations in contexts where a traceability mechanism is nevertheless very useful, we define four scenarios occurring in model synchronization. Such a context choice is pertinent for two main reasons. First, model synchronization usually uses traceability as main entry for its algorithm [MAL09]. Second, the nature of the information looked for in these scenarios is very common and frequently manipulated in other contexts.

In order to make these scenarios a reality, an input and an output model have been defined and are partially represented in the figure 3. Only the elements instantiating the concepts handled by the previously described rules are presented.

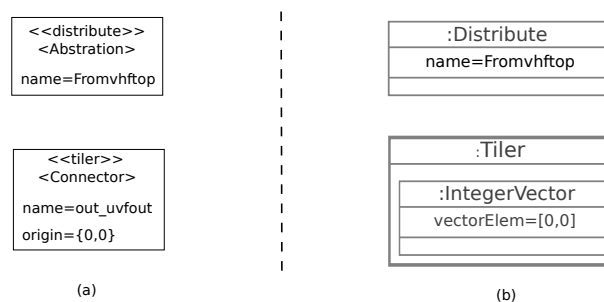


Figure 3: Input (a) and Output (b) Model Excerpts

The model synchronization strategy is simplified; we are conscious that bigger issues are raised. However, it is enough to illustrate our purpose:

1. If an element is suppressed in the output model, then the input elements which lead to its creation should be suppressed. If these latter are involved in the generation of other elements in the output model, they also have to be deleted.
2. If an element is modified in the output model, then the elements which lead to its creation should be modified. If these latter are involved in the generation of other elements in the

output model, they also have to be modified.

Conforming to these strategies, we define four scenarios representing a suppression/modification action on elements of the output model (figure 3(a)).

Scenario 1: suppression of the *Distribute* instance.

Scenario 2: modification of the property *name* owned by the *Distribute* instance.

Scenario 3: suppression of the *IntegerVector* instance owned by the *Tiler* instance.

Scenario 4: modification of the property *vectorElem* owned by the *IntegerVector* instance.

In the next section these scenarios are developed using the QVT trace produced during the transformation.

3 Performing Model Synchronization Using the QVTO Trace

This section does not aim to propose a solution to the model synchronization issues, but to informally present the limitations of the QVT trace even in simple samples such as the scenarios previously described.

The QVT trace generated during the execution of the “UML to Marte” transformation is used to synchronize the models following the four scenarios successively.

Figure 4 sketches the part of this trace generated relative to the two rules previously presented. This trace contains two links. One expresses that the *Fromvhftop Abstraction* instance leads to the creation of the *Fromvhftop Distribute* instance by executing the *DistributedMapping* operation. The other link specifies that the *toTiler* operation uses the *out_uvfout Connector* to create a *Tiler* instance.

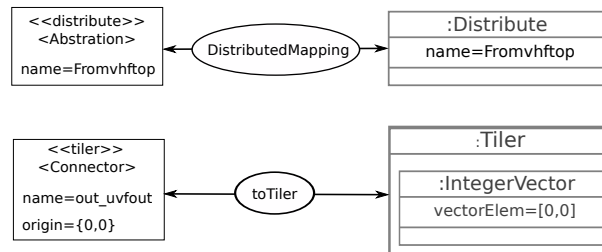


Figure 4: Produced QVT Trace View

3.1 Application of the Case Study

The realization of each scenario relies on an analysis of this trace.

Scenario 1: The *Fromvhftop Distribute* instance is removed. To synchronize the input model, the elements of the input model leading to its creation must be also deleted. Analyzing the QVT trace, only the *Abstraction* instance enables this creation using the *DistributedMapping* operation. The synchronization here is quite simple: the *Abstraction* instance is deleted in the input model.

Scenario 2: The QVT trace does not provide enough information to determine the elements leading to the *name* property creation. The synchronization here seems difficult to perform.

Scenario 3: Synchronizing the models corresponds to the removal of the input model elements involved in the creation of the *IntegerVector*. As in the previous scenarios, these elements cannot be identified using the QVT trace. The synchronization is thus compromised.

Scenario 4: Once again, synchronization is hardly enforceable because the QVT trace does not provide links between the removed property and the element leading to its creation.

These four scenarios illustrate the inefficiency of the QVT trace to highlight links between input and output elements useful for example to perform model synchronization. Only the first scenario succeeds with such a trace. As the concepts, the properties need to be traced. Indeed, model synchronization may also concern properties such as present in the scenarios 2 and 4. Moreover, the scenario 3 illustrates that some concepts (*e.g. IntegerVector*) may be created using a helper. Thus traceability must also concern helpers and not only rules. These four scenarios show on very common actions upon a model (*i.e* modification/suppression) that the QVT trace has not a fine enough granularity.

3.2 The QVT Trace Policy

The *Operational Mapping* provides a trace generation policy relying only on *mapping* operation. According to the QVT specification, *trace class* instances are automatically created when *mapping* operation are executed. The traced elements refer only either to the input and the output specified in the rule signature or to the rule parameters. The case study has shown the limit of this policy; other QVT language elements require attention.

3.2.1 The *when* section

Operational Mapping and *Relation* provides a guard mechanism through the *when* keyword. The rule is executed only if this guard is satisfied. So the creation of an object relies not only on the element on which the rule has been applied, but also from all the elements/properties involved in the guard. Tracing every element involved in the creation of another one may be very useful in some cases, as illustrated in the case study.

3.2.2 The *query* and the *helper* operation

Additionally to the mapping, the *Operational Mapping* language provides two other operations; *query* and *helper*.

The *query* is an operation associated to a simple request. It does not create/delete/modify any object. For this reason it seems not useful to trace the *queries*.

The *helper* may lead to the creation/deletion/modification of elements through side effects. Moreover, an *helper* can be applied many times on the same element to possibly create different elements (that why *helper* do not appear in the QVT trace). As we have seen on the example, not tracing the *helpers* implies that pertinent information concerning the creation/deletion/modification of elements produced by helpers are missing.

3.2.3 The Primitive Property

Primitive properties do not appear in the QVT trace since they are not used in the object resolution mechanism. However, as illustrated in the case study, information concerning them may be useful.

The experimentation and the observations made in this section concern the QVT *Operational Mapping* language. However, they are also applicable to the *Relation* language since the trace policy is relatively common to the three QVT languages.

4 QVT with the Local Trace

Two solutions can be envisaged to overtake the limitations of the QVT trace: enhance it or provide an alternative trace. We have chosen the second solution for two reasons. First, the QVT trace is dedicated to object resolution. Tracing helpers as mappings may lead to errors, since the QVT trace is internally used to object resolution; the *helper* could not be applied many times on the same element. Tracing the properties would not lead to errors but would change the trace purpose. Second, each QVT engine adopts its own implementation of the standard. Moreover, other transformation engines/languages are used. The alternative trace we provide, the *local trace*, is fully independent from any transformation language.

Our local trace has been used to gather fine grain information in order to localize errors in transformation [AMED09]. Moreover, the local trace has been used with different model transformation languages such as Java and QVTO, showing its full independence from any transformation language; only its generation has to be adapted. In the following subsections, we present the local trace metamodel and its capacity to produce a trace model adapted to “classic” exploitation/exploration without losing the original information contained in the QVT trace.

4.1 Local Trace Metamodel

The metamodel presented in Figure 5 has already been described in [GED08, AMED09]. It is built around a minimal core close to the trace metamodel defined by Jouault in [Jou05]. The local trace metamodel is designed around three main concepts: *Link*, *ElementRef* and *RuleRef*. The other concepts of the metamodel are used to structure the trace models by providing containers.

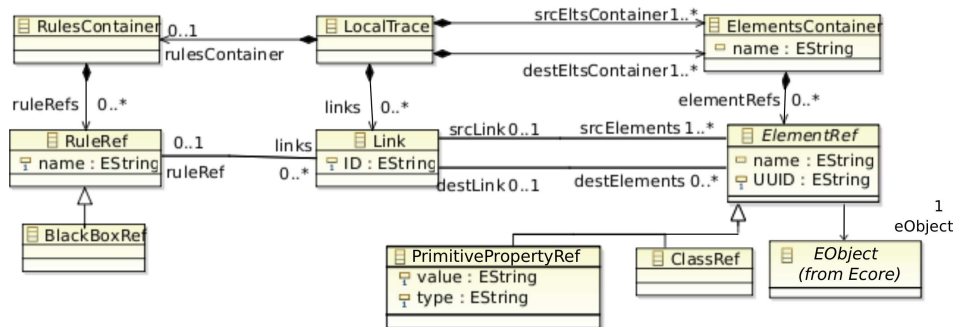


Figure 5: Local Trace Metamodel

4.1.1 The *Link* concept

The *Link* concept establishes a relationship between elements involved in the transformation. It connects all the input elements useful to create/modify/delete output elements.

4.1.2 The *ElementRef* concept

The *ElementRef* concept represents the different elements consummated and produced by the transformation. It directly points out the corresponding element of the input/output model through a reference to *eObject*. Moreover, to trace properties, distinction between model class and primitive type class property such as *Integer* or *String* is explicitly designed. An *ElementRef* is either a *ClassRef* or a *PrimitivePropertyRef*, respectively. *PrimitivePropertyRefs* own specific properties: *value* and *type* which correspond to the value and the type of the traced property.

Transformation rules may involve many input and output elements for example through the QVT *when* sections. Similarly, the local trace metamodel provides a *n-to-m* connection between *Link* and *ElementRef*. Thus the elements involved in a *when* section may be automatically traced with the elements on which the rule is applied.

4.1.3 The *RuleRef* concept

RuleRef refers to the transformation rule, that creates it. Indeed, by regarding *helpers*¹ as a side-effect operation, the *RuleRef* concept stores information concerning the rules and the *helpers* evaluated during a transformation and leading to the creation of trace *Links*.

The independence of our metamodel to any transformation language implies that it can easily be used without any adaptation in combination with various language. However, this independence obviously does not hold for the generation of the trace model, which depends on the used language. Concerning the QVT *Operational* language, we provide a plug-in fragment for the Eclipse platform and QVTO to generate the trace. Concretely, some classes used by QVTO are overridden. Such a mechanism enables us to generate the local trace without interfering in the transformation execution. Moreover, the trace is accessible all along the transformation execution, serialized as a model at the end and can thus be exploited by external algorithms/software during or after the transformation execution.

5 Application of the Case Study with the Local Trace

In this section, we apply the case study with the local trace. By construction, the generated local trace model contains all the information provided in the QVT trace and more. Figure 6 sketches a part of the generated local trace. The links express that the *Fromvhftop Distribute* instance of the output model has been created from the *Fromvhftop Abstraction* instance in the input model (link 1199). The rule *DistributedMapping* has created this *Distribute* instance. Moreover, links between properties provide finer grain information. Thus, for example, the *name* property of the *Distribute* instance in the output model has been generated from the *name* property of the *Abstraction* instance, by the *DistributedMapping* rule (link 1195).

¹ The concept of helper exists in other languages than QVT such as ATL

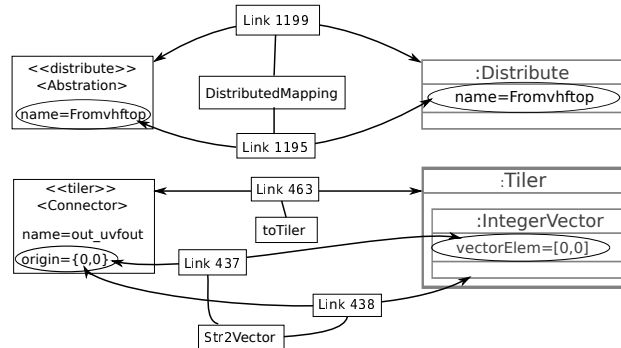


Figure 6: Generated Local Trace for the Case Study

Each scenario presented in section 2 is developed using the local trace.

Scenario 1: The local trace specifies that the *Fromvhftop Distribute* instance is associated to only one link (*Link 1199*) having a unique source element the *Fromvhftop Abstraction* instance. Thus, to synchronize model in this case and adopting the strategy described in section 2, the *Abstraction* instance is removed from the input model.

Scenario 2: The local trace and more precisely the *Link 1195*, enable one to identify the element *name* property of the *Abstraction* instance in the input model as the element which creates the *name* property of the *Fromvhftop Distribute* instance. Thus, to perform the model synchronization, the *name* property of the *Abstraction* instance must be also modified.

Scenario 3: The *Link 438* of the local trace highlights that the *IntegerVector* instance has been generated from the *origin* property owned by the *Tiler* instance. Thus, the suppression of the *IntegerVector* instance leads to emptying the *origin* property in the input model.

Scenario 4: The local trace expresses with the *Link 437* that, the *vectorElem* property creation relies on the *origin* property owned by the *Tiler* instance. The modification applied on the *vectorElem* property affects the *origin* property in the input model.

Applying the model synchronization scenarios of section 2 with the local trace succeeds. Each action performed on the output model concerns elements (*i.e.* property or class) that are traced. It is thus simple to find the input model elements which create them and then perform adequate modifications to synchronize the models.

6 Related Work

Traceability is widely spread in computer science domain. However, in this paper, we focus on traceability used in the context of model transformations.

Several metamodels have been proposed as the foundation of traceability approaches [Jou05, FHN06, VAB⁺07]. These metamodels are structurally, relatively different and often depend on a specific transformation language. However, they always gather the same basic information. The traceability metamodel defined by Jouault [Jou05] only contains minimal information, *i.e.* elements and links between them. The name of the transformation rule which creates the elements is associated to the link as a property. Based on these works, Yie *et al.* propose an advanced

trace for ATL [YW09]. The proposed trace gathers fine grained information of the ATL transformation execution. However, the implementation is really dependent from the ATL metamodel and the ATL Virtual Machine. Our local trace metamodel is an extension of the one defined by Jouault “core” trace providing a more finer grain trace and separating the rule concept from the link to ease manipulations.

In [FHN06], the authors define a metamodel closed to the Jouault’s one in order to provide a traceability mechanism to transformations written with the Kermeta language. Since the linked elements directly refers by Kermeta objects, they can correspond to class or primitive property, but such a distinction is not explicit in the metamodel. Moreover, this metamodel can manage many “steps” corresponding to a sequence of model transformations. Our local trace metamodel does not take in account model transformation sequences (*a.k.a* transformations chain). To solve this problem, we introduced in [GED08], the global trace metamodel to store the local trace sequence. This metamodel links the input/output models with their local trace. In our approach, preoccupations are split: the local trace is only used for the model to model transformation tracing, whereas the global trace only deals with navigation through the local trace and its input/output models.

Other techniques to manage several traces, each one corresponding to one transformation in a chain, are proposed in [VAB⁺07] and [BDFB07]. In [BDFB07], the authors separate the preoccupations providing two traceabilities. A traceability “in the small” dealing with model to model transformation and a traceability “in the large” to manage transformation chain. Their solution relies on megamodel to reference traces. In [VAB⁺07], the UniTI approach is not directly designed to solve traceability issues. The main objective of the authors is to define a metamodel enhancing composition and reuse of transformations written in various languages. Nevertheless, this metamodel could be easily extended with concepts for global traceability.

Traceability is not a goal in itself. Its major interest lies in its ability to be used as an algorithm/approach input. In the case of the QVT trace, this algorithm corresponds to object resolution and so it is provided is perfectly adapted. However, to be used with common algorithms based on trace, the QVT trace does not gather enough information; alternative traces have to be generated.

In [WKS⁺09], the authors proposes a debugging support for the QVT *Relation* language based on an alternative trace. They transform the QVT transformation to a colored Petri nets transformation (using TROPIC) in order to take benefit from the traces produced by TROPIC. However, adaptation of such an approach to the QVT *Operational Mapping* seems difficult due to the complexity of this QVT language.

Another trace application for QVT *Operational Mapping* language is studied in [KDGB07]. The authors perform impact analysis using their trace formalism. They do not use the QVT trace due to the way it is generated (*i.e* only accessible and serialized once the transformation is done) and some restriction of the associated metamodel. However, they admit that their impact analysis is limited in particular because guard (*i.e when* sections) should be traced. Unfortunately, from their trace generation choice, this kind of section is hardly traceable. The local trace brings a solution thanks to its ability to store the elements handled by the *when* sections.

7 Conclusion

In this article, through a model synchronization example, we have shown that the QVT trace does not gather enough information for common algorithm requiring trace as input. Indeed, the QVT trace is designed for the object resolution mechanism. It is used internally by the transformation engine itself and can be invoked by the developer (using specific keywords). The information contained in this trace are relative only to some kind of elements (class and not property). Moreover, trace links are only one-to-one links focussing on the root patterns of the rules (*i.e.* elements involved in the rule signature).

Based on the case study, we have identified concepts useful to model synchronization but not contained in the QVT trace. Thus for example, all the elements appearing in patterns describing the condition to apply a rule or properties would deserve to be traced. Moreover, rules/mapping are not the only operations creating or modifying elements; helpers do it also, but only rules generate links in the QVT trace. We propose an alternative, the local trace metamodel managing all these aspects. It is language independent. Thus, it is possible to use the local trace whatever the transformation language used.

By gathering more information, the local trace can become large when dealing with huge models. One of the future challenges we need tackle is the specification of elements to trace in order to reduce the size of the trace model.

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