

## On the Riesz Means of Expansions by Riesz Bases Formed by Eigenfunctions for the Ordinary Differential Operator of 4-th Order

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### Abstract

The aim of this paper is prove a theorem on the Riesz mean of expansions with respect to Riesz bases, which extends the previous results of Loi and Tahir on the Schrödinger operator to the operator of 4-th order.

### Introduction

The aim of this paper is to prove a theorem on the Riesz means of expansions with respect to Riesz bases, which extends the previous results of (1) and (2) of the Shrödinger operator to the operator of 4-th order.

Let  $G \subset \mathbf{R}$  be an arbitrary finite open interval,  $q(x) \in L^1(G)$  an arbitrary complex function and consider the operator  $L u_r := u_r^{(4)} + q(x) u_r$ .

Given a complex number  $\lambda$ , the function  $u_{-1} : G \rightarrow \mathbf{C}$ ,  $u_{-1} \equiv 0$  is called an eigenfunction of order  $-1$  of the operator  $L$  with the eigenvalue  $\lambda$ . A function  $u_r : G \rightarrow \mathbf{C}$ ,  $u_{-1} \not\equiv 0$  ( $r = 0, 1, \dots$ ) is said to be an eigenfunction of order  $r$  of the operator  $L$  with the eigenvalue  $\lambda$  if  $u_r$  together with its derivative is absolutely continuous on every compact subinterval of  $G$  and if for almost all  $x \in G$  the equation  $L u_r(x) = \lambda u_r(x) - u_{r-1}(x)$  holds, where  $u_{r-1}(x)$  is an eigenfunction of order  $(r-1)$  with the same  $\lambda$ .

Let us now give a Riesz basis  $(u_r(x)) \subset L^2(G)$  of the operator  $L$ .

Let  $\lambda_r$  (resp.  $\rho_r$ ) denotes the eigenvalue (resp. the order) of  $u_r$  and assume that the following conditions are satisfied:

$$\sup_r \rho_r < \infty \quad \dots\dots\dots[1]$$

$$\text{in case } \rho_r > 0, \lambda_r u_r - L u_r = u_{r-1} \quad \dots\dots\dots[2]$$

Suppose the biorthogonal system  $(v_r)$  of the system  $(u_r)$  have the property

$$\sum_{|\mu-\rho_r| \leq 1} \|v_r\|_{L^\infty(G)}^2 < V < \infty \quad (\mu \geq 1; \rho_k = \text{Re} \sqrt{\lambda_k}, \rho_k \geq 0) \dots[3]$$

Now consider the Riesz means of the biorthogonal series

$$\sigma_\mu^s(f, x) := \sum_{|\rho_r| < \mu} \langle f, v_r \rangle u_r(x) (1 - \frac{\rho_r^2}{\mu^2})^s \dots\dots[4]$$

( $f \in L^1(G)$ ,  $x \in G$ ,  $\mu > 0$ ,  $0 \leq s < 1/2$ ), where  $(v_r)$  is the dual system of  $(u_r)$ , i.e.,  $(v_r) \subset L^2(G)$  and  $\langle v_r, u_j \rangle = \delta_{rj}$ .

Given any compact interval  $K \subset G$ , denote by  $R$  an arbitrary number from the interval  $(0, \text{dist}(K, \partial G))$ .

Now, fix  $x \in K$  arbitrary and define  $W_R^s: G \rightarrow \mathbf{R}$  by

$$W_R^s(t) = \begin{cases} a(s) \mu^{\frac{1}{2}-s} |t|^{-s-\frac{1}{2}} J_{s+1/2}(\mu|t|) & \text{if } |t| \leq R, \\ 0 & \text{otherwise,} \end{cases} \dots[5]$$

where  $a(s) := 2^s(2\pi)^{-1/2} \Gamma(s+1)$ .

Moreover, for any  $f \in L^1(G)$ ,  $x \pm R \in G$  define

$$S_\mu^s(f, x) = \int_{x-R}^{x+R} W_R^s(y-x) f(y) dy \dots\dots[6]$$

Denote by  $\theta^s(x, y, \mu)$  the spectral function of the Riesz mean (i.e.)

$$\theta^s(x, y, \mu) := \sum_{\rho_r < \mu} u_r(x) \overline{v_r(y)} (1 - \frac{\rho_r^2}{\mu^2})^s \dots\dots[7]$$

where  $x, y \in G$ .

Introduce the operation  $D_{R_0}: L^1(G) \rightarrow \mathbf{R}$

$$D_{R_0}[f] = \frac{2}{R_0} \int_{\frac{R_0}{2}}^{R_0} f(R) dR \quad \dots\dots\dots[8]$$

where  $R_0 \in (0, \text{dist}(K, \partial G))$ .

**Theorem**

Given any compact interval  $K \subset G$ , for all  $0 \leq s < 1/2$ ,  $\mu > 0$  and  $f \in L^1(G)$  the estimate

$$\sigma_\mu^s(f, x) - S_\mu^s(f, x) = O(1)\mu^{-s} \dots\dots[9]$$

Hold the uniformly on the compact interval  $K \subset G$ .

**Remark**

For the case  $s = 0$ ,  $f \in L^2(G)$  (resp.  $f \in L^1(G)$ ) this estimate was proved in (3) (resp.(4)) without the condition  $|\text{Im} \sqrt{\lambda_r}| \leq c$ .(c is constant)

In (1) the same estimate was proved with the condition  $|\text{Im} \sqrt{\lambda_r}| \leq c |\sqrt{\lambda_r}|^{-s}$ .

The validity of [9] without this condition was proved in (2).

For the proof of this theorem we shall choose the 4-th roots  $\mu_{r,j}$  ( $i=1, \dots, 4$ ) of  $\lambda_r$ , such that  $\text{Re} \mu_{r,1} \geq \mu_{r,2} \geq \mu_{r,3} \geq \mu_{r,4}$  and put  $\mu_r := \mu_{r,2}$ ,  $\rho_r := \text{Re} \mu_r$ ,  $\nu_r := |\text{Im} \mu_r|$ .

Now, we have (M.B.Tahir,(5))

$$0 = \begin{vmatrix} \hat{u}_r(x) & \hat{u}_r(x-t) + \hat{u}_r(x+t) & \hat{u}_r(x-2R) + \hat{u}_r(x+2R) \\ 1 & 2 \cos \mu_r t & 2 \cos 2\mu_r R \\ 1 & 2Ch \mu_r t & 2Ch 2\mu_r R \end{vmatrix}$$

Expanding this determinant according to the first row and using

$$\hat{u}_r(y) := u_r(y) + \int_x^y \frac{Sh \mu_r(y-\xi) - \sin \mu_r(y-\xi)}{2\mu_r^3} Q(\xi) d\xi$$

where  $Q(\xi) := q(\xi) u_r(\xi) - u_r^*(\xi)$

and  $u_r^* := L u_r - \lambda_r u_r$ .

We have

$$2(Ch 2 \mu_r R - \cos 2 \mu_r R) (u_r(x-t) + u_r(x+t)) =$$

$$4 u_r(x)(\cos \mu_r t \operatorname{Ch} 2 \mu_r R - \cos 2 \mu_r R \operatorname{Ch} \mu_r t) + 2 (\operatorname{Ch} \mu_r t - \cos \mu_r t) \cdot$$

$$[u_r(x+2 R) + u_r(x-2 R)] + 2(\operatorname{Ch} \mu_r t - \cos \mu_r t) \cdot$$

$$\int_{x-2 R}^{x+2 R} \frac{\operatorname{Sh} \mu_r (2 R - |x - \xi|) - \sin \mu_r (2 R - |x - \xi|)}{2 \mu_r^3} Q(\xi) d \xi -$$

$$2 \int_{x-t}^{x+t} \frac{(\operatorname{Ch} 2 \mu_r (t - |x - \xi|) - \cos 2 \mu_r (t - |x - \xi|))}{2 \mu_r^3} Q(\xi) d \xi,$$

dividing by  $e^{2 \operatorname{Re} \mu_r R}$  we obtain:

$$[u_r(x-t) + u_r(x+t)] d(\mu_r, R) = 2 u_r(x) d_0(\mu_r, R, t) +$$

$$d_2(\mu_r, R, t) [u_r(x-2 R) + u_r(x+2 R)] +$$

$$\int_{x-2 R}^{x+2 R} \frac{D(\mu_r, R, t, |x - \xi|)}{e^{2 \operatorname{Re} \mu_r R}} Q(\xi) d \xi, \dots\dots[10]$$

where  $d(\mu_r, R) := \frac{2(\operatorname{Ch} 2 \mu_r R - \cos 2 \mu_r R)}{e^{2 \operatorname{Re} \mu_r R}},$

$$d_0(\mu_r, R, t) := \frac{2(\cos \mu_r t \operatorname{Ch} 2 \mu_r R - \cos 2 \mu_r R \operatorname{Ch} \mu_r t)}{e^{2 \operatorname{Re} \mu_r R}},$$

$$d_2(\mu_r, R, t) := \frac{2(\operatorname{Ch} \mu_r t - \cos \mu_r t)}{e^{2 \operatorname{Re} \mu_r R}}$$

and

$$D(\mu_r, R, t, |x - \xi|) := \begin{cases} d_2(\mu_r, R, t) \sum_{p=1}^2 \frac{\omega_p \operatorname{Sh} \omega_p \mu_r (2 R - |x - \xi|)}{2 \mu_r^3}, \\ -d(\mu_r, R) \sum_{p=1}^2 \frac{\omega_p \operatorname{Sh} \omega_p \mu_r (t - |x - \xi|)}{2 \mu_r^3}, \text{ if } 0 \leq |x - \xi| \leq t, \\ d_2(\mu_r, R, t) \sum_{p=1}^2 \frac{\omega_p \operatorname{Sh} \omega_p \mu_r (2 R - |x - \xi|)}{2 \mu_r^{2m-1}}, \text{ if } t \leq |x - \xi| \leq 2 R \end{cases}$$

we want to prove the following estimate.

$$\theta^s(x, y, \mu) - W_R^s(|y - x|) = O(1) \mu^{-s}, \dots\dots\dots[11]$$

We count the Fourier coefficients of the function  $W_R^s(y - x)$  with respect to the system  $(u_r)$ :

$$\begin{aligned} \langle u_r, D_{R_0} W_R^s \rangle &= D_{R_0} \int_0^R W_R^s(t) [u_r(x-t) + u_r(x+t)] dt \\ &= D_{R_0} \int_0^R W_R^s(t) \left[ 2u_r(x) \frac{d_0(\mu_r, R, t)}{d(\mu_r, R)} + \{u_r(x-2R) + u_r(x+2R)\} \right. \\ &\quad \left. \frac{d_2(\mu_r, R, t)}{d(\mu_r, R)} + \frac{e^{-2\text{Re}\mu_r R}}{d(\mu_r, R)} \int_{x-2R}^{x+2R} W_R^s D(\mu_r, R, t, |x-\xi|) Q(\xi) d\xi \right] dt \end{aligned}$$

since

$$D_{R_0} W_R^s(y - x) = \sum \overline{v_r(y)} \langle u_r, D_{R_0} W_R^s \rangle$$

and from ((1),p.67)

$$D_{R_0} W_R^s(t) = W_R^s(t) + O(1)\mu^{-S}$$

We obtain

$$\theta^s(x, y, \mu) - W_R^s(y - x) = O(1)\mu^{-S} + \theta^s(x, y, \mu) - D_{R_0} W_R^s(y - x),$$

By using the definition of  $\theta^s(x, y, \mu)$ ,  $D_{R_0} W_R^s(y - x)$  and the

$$\text{relation } \int_0^\infty W_R^s(t) \cos \rho_r t dt = \left( 1 - \frac{\rho_r^2}{\mu^2} \right) \text{ (see 1), p.61}$$

We have the following:

$$\begin{aligned} \theta^s(x, y, \mu) - W_R^s(|y - x|) &= O(1)\mu^{-S} + c \sum_r u_r(x) \overline{v_r(y)} \dots [12] \\ &\left[ D_{R_0} \int_0^\infty W_R^s(t) \cos \rho_r t dt - D_{R_0} \int_0^R W_R^s(t) \cos \rho_r t \left( \frac{Ch 2 \mu_r R Ch v_r t}{e^{2\text{Re}\mu_r R} d(\mu_r, R)} - 1 \right) dt - \right. \\ &\left. i D_{R_0} \int_0^\infty \frac{W_R^s(t) \sin \rho_r t Sh v_r t}{d(\mu_r, R) e^{2\text{Re}\mu_r R}} dt - D_{R_0} \int_0^R W_R^s(t) \frac{\cos 2 \mu_r R Ch \mu_r t}{d(\mu_r, R) e^{2\text{Re}\mu_r R}} dt \right] - \end{aligned}$$

$$c \sum_r \overline{v_r(y)} D_{R_0} \int_0^R W_R^s(t) (u_r(x + 2R) + u_r(x - 2R)) \frac{d_2(\mu_r, R, t)}{d_1(\mu_r, R, t)} dt -$$

$$c \sum_r \overline{v_r(y)} D_{R_0} \int_0^R W_R^s(t) \frac{e^{-2\text{Re}\mu_r R}}{d(\mu_r, R)} \int_{x-2R}^{x+2R} D(\mu_r, R, t, |x - \xi|) Q(\xi) d\xi dt$$

Let

$$\theta^s(x, y, \mu) - W_R^s(|y - x|) = O(1)\mu^{-s} + \sum_{i=1}^6 H_i \dots\dots\dots[13]$$

where  $H_1, \dots, H_6$  denote the first, ..., sixth integral of the right hand side of [12]

we want to find the estimates of  $H_i$  ( $i = 1, \dots, 6$ ).

Firstly, we know that (M.B.Tahir (5)), for  $m = 2$ .

$$\left| \frac{D(\mu_r, R, t, |x - \xi|)}{e^{2\text{Re}\mu_r R}} \right| \leq c |\mu_r|^{-3} e^{\rho R} \min\{1, |\mu_r| t\} \dots\dots\dots[14]$$

and

$$\int_R^{2R} \left| \frac{d(\mu_r, \alpha)}{e^{2\text{Re}\mu_r R}} \right| d\alpha \geq \delta > 0 \quad (\delta = \delta(R_0)) \dots\dots\dots[15]$$

if  $R_0 > 0$ ,  $\frac{R_0}{2} \leq R \leq R_0$  and  $|\mu_r| \geq A = A(R_0) \geq 1$ .

From ((1), P.63), we have

$$|H_1| \leq \mu^{-s} \frac{c(R_0, s)}{1 + |\mu - \rho_r|^2}$$

$$|H_2| \leq \left| D_{R_0} \int_0^R W_R^s(t) \cos \rho_r t \left( \frac{Ch 2 \mu_r R Ch v_r t e^{-2v_r R}}{e^{2\text{Re}\mu_r R} d(\mu_r, R)} \right) dt \right|$$

$$\leq c e^{2(\rho_r - v_r)R_0} \left| D_{R_0} \int_0^R W_R^s(t) \cos \rho_r t Ch v_r t dt \right|$$

(see [15])

But from ([1], p.63) this integral less than

$$\frac{\mu^{-s} c(R_0, s) Ch \nu_r R_0}{1 + |\mu - \rho_r|^2}$$

Hence

$$|H_2| \leq \mu^{-s} e^{2(\rho_r - \nu_r)R_0} \frac{c'(R_0, s) Ch \nu_r R_0}{1 + |\mu - \rho_r|^2} \leq \mu^{-s} \frac{c''(R_0, s) e^{|\nu_r|R_0}}{1 + |\mu - \rho_r|^2}$$

$H_2$  is the only place in the proof where N.H.Lio (1) used his additional assumption on the distributional of  $\lambda_r$  :  $(|\text{Im} \sqrt{\lambda_r}| \leq c |\sqrt{\lambda_r}|^{-s})$ . M.B.

Tahir (2) proved the theorem without using this assumption and also we shall not need this assumption.

$$\begin{aligned} |H_3| &\leq c e^{-2\nu_r R_0} \left| D_{R_0} \int_0^R W_R^s(t) \sin \rho_r t Sh \nu_r t dt \right| \\ &= \mu^{-s} c e^{-2\nu_r R_0} \left| D_{R_0} \int_0^R \mu^{\frac{1}{2}} t^{-s-\frac{1}{2}} J_{s+\frac{1}{2}}(\mu t) \sin \rho_r t Sh \nu_r t dt \right| \end{aligned}$$

From the definition of  $W_R^s(t)$ .

But M.B.Tahir (2) gave the following estimate

$$\left| D_{R_0} \int_0^R \mu^{\frac{1}{2}} t^{-s-\frac{1}{2}} J_{s+\frac{1}{2}}(\mu t) \sin \rho_r t Sh \nu_r t dt \right| \leq \frac{c(R_0, s) e^{|\nu_r|R_0}}{1 + |\mu - \rho_r|^2}$$

Then

$$|H_3| \leq c(R_0, s) \mu^{-s} \frac{e^{|\nu_r|R_0}}{1 + |\mu - \rho_r|^2}$$

For the estimate of  $H_4$ , using the definition of  $W_R^s(t)$  and the relation

$$|Ch \mu_r t| \leq \begin{cases} c |\mu_r| t & , \text{if } 0 \leq t \leq \frac{1}{|\mu_r|} \\ c e^{\rho_r t} & , \text{if } t > \frac{1}{|\mu_r|}, \end{cases}$$

then we have

$$\begin{aligned}
 |H_4| &\leq c \left| D_{R_0} \int_0^{1/|\mu_r|} W_R^s(t) |\mu_r| t dt + D_{R_0} \int_{1/|\mu_r|}^R W_R^s(t) e^{\rho t} dt \right| \\
 &\leq c \mu^{-s} \left[ D_{R_0} \int_0^{1/|\mu_r|} \mu^{\frac{1}{2}} |J_{s+\frac{1}{2}}(\mu t)| t^{-s-\frac{1}{2}} dt + \int_{1/|\mu_r|}^R \mu^{\frac{1}{2}} |J_{s+\frac{1}{2}}(\mu t)| t^{-s-\frac{1}{2}} e^{\rho t} dt \right] \\
 D_{R_0} \int_0^{1/|\mu_r|} \mu^{\frac{1}{2}} |J_{s+\frac{1}{2}}(\mu t)| t^{-s-\frac{1}{2}} dt &\leq c_1 \max_{0 \leq t \leq 1/|\mu_r|} t^{-s} \leq c R_0^{-s} \\
 D_{R_0} \int_{1/|\mu_r|}^R \mu^{\frac{1}{2}} |J_{s+\frac{1}{2}}(\mu t)| t^{-s-\frac{1}{2}} e^{\rho t} dt &\leq c_2 e^{\rho R_0} \max_{1/|\mu_r| \leq t \leq R} t^{-s} \leq c e^{\rho R_0} R_0^{-s} \\
 \therefore |H_4| &\leq c(R_0, s) e^{\rho R_0} \mu^{-s}
 \end{aligned}$$

To find the estimate of  $H_5$ , using the relation

$$|Ch \mu_r t - \cos \mu_r t| \leq \begin{cases} c |\mu_r| t & , \text{if } 0 \leq t \leq \frac{1}{|\mu_r|} \\ c e^{\operatorname{Re} \mu_r t} & , \text{if } t > \frac{1}{|\mu_r|} \end{cases}$$

(see (5)), then

$$\begin{aligned}
 &\left| D_{R_0} \int_0^R W_R^s(t) (Ch \mu_r t - \cos \mu_r t) dt \right| \\
 &\leq c(s) \mu^{-s} D_{R_0} \left[ \int_0^{1/|\mu_r|} \mu^{1/2} |J_{s+\frac{1}{2}}(\mu t)| |\mu_r| t^{-s+\frac{1}{2}} dt + c(s) \mu^{-s} \int_{1/|\mu_r|}^R \mu^{\frac{1}{2}} |J_{s+\frac{1}{2}}(\mu t)| e^{\nu t} dt \right] \\
 &\leq c'(s) \mu^{-s} \max_{0 \leq t \leq 1/|\mu_r|} t^{-s} + c''(s) \mu^{-s} \sum_{m=0}^{\infty} \frac{\nu_r^m}{m!} \max_{1/|\mu_r| \leq t \leq R} t^{m-s} \\
 \text{where } e^{\nu_r t} &= \sum_{m=0}^{\infty} \frac{(\nu_r t)^m}{m!} \\
 &\leq c'(R_0, s) \mu^{-s} R_0^{-s} + c''(R_0, s) \mu^{-s} R_0^{-s} e^{\nu_r R_0} \\
 &\leq C(R_0, s) \mu^{-s} e^{\nu_r R_0}
 \end{aligned}$$



Then

$$|H_5| \leq c(R_0, s) \mu^{-s} e^{|\nu_r|R_0} \|u_r\|_{L^\infty(K_{R_0})}$$

At last, for estimate of  $H_6$ , we have from [14]

$$\begin{aligned} & \left| \int_{x-2R}^{x+2R} \frac{D(\mu_r, R, t, |x - \xi|)}{e^{2\text{Re}\mu_r R}} Q(\xi) d\xi \right| \\ & \leq \left| \int_{x-2R}^{x+2R} \frac{D(\mu_r, R, t, |x - \xi|)}{e^{2\text{Re}\mu_r R}} \right| |Q(\xi)| d\xi \\ & \leq c |\mu_r|^{-3} e^{\rho_r R} \min\{1, |\mu_r|t\} \cdot \|u_r\|_{L^\infty(x-2R, x+2R)} \end{aligned}$$

hence

$$\begin{aligned} |H_6| & \leq c |\mu_r|^{-3} e^{\rho_r R_0} \|u_r\|_{L^\infty(K_{R_0})} \max_{0 \leq t \leq R} t^{-s+1} \\ & \leq c' e^{\rho_r R_0} R_0^{-s+1} \|u_r\|_{L^\infty(K_{R_0})} \leq c(R_0, s) e^{\rho_r R_0} \|u_r\|_{L^\infty(K_{R_0})} \end{aligned}$$

using [3], ((6), lemma [3]) and the Cauchy-Schwartz inequality we obtain the following estimates

$$\begin{aligned} \sum_r |\overline{\nu_r(y)}| \|u_r(x)\| |H_i| & = O(1) \mu^{-s}, \quad (i = 1, 2, 3, 4) \\ \sum_r |\overline{\nu_r(y)}| |H_5| & = O(1) \mu^{-s}, \\ \sum_r |\overline{\nu_r(y)}| |H_6| & = O(1) \mu^{-s}. \end{aligned}$$

It's clear that

$$16. \theta^s(x, y, \mu) - W_R^s(|y - x|) = O(1) \mu^{-s}$$

**Proof of the Theorem:**

Consider the operator

$$L_\mu(f, x) := \mu^s [\sigma_\mu^s(f, x) - S_\mu^s(f, x)]$$

From  $L^1(G)$  into  $C(K)$  for any compact  $K \subset G$ .

We know that

$$\begin{aligned} \sigma_\mu^s(f, x) & := \sum_{|\rho| < \mu} \langle f, \nu_r \rangle u_r(x) \left(1 - \frac{\rho^2}{\mu^2}\right)^s \\ S_\mu^s(f, x) & := \sum_r \langle f, \nu_r \rangle \langle u_r, W_R^s \rangle \end{aligned}$$

$$\begin{aligned} \text{then } \sigma_{\mu}^s(f, x) - S_{\mu}^s(f, x) &= \langle f, \theta^s - W_R^s \rangle \\ &= \sum_r \langle f, v_r \rangle \langle u_r, \theta^s - W_R^s \rangle \end{aligned}$$

By using Cauchy-Schwartz inequality and the previous result in [16], we obtain

$$\|L_{\mu}(f, x)\|_{C(K)} \leq M$$

For any fixed  $K \subset G$ . Further there exists  $H \subset L^1(G)$  such that  $\overline{H} = L^1(G)$  and the relation  $L_{\mu}(h, x) \longrightarrow 0$  holds uniformly in  $x$  on the  $K$  for any  $h \in H$ .

Hence the result follows by the Banach-Steinhaus theorem (7).

The proof is complete.

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**حول متوسطات ريس للتوسيعات بوساطة قواعد ريس  
المصاغة بوساطة الدوال الذاتية للمؤثر التفاضلي  
الاعتيادي من الرتبة الرابعة**

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**الخلاصة**

هدف هذا البحث إلى برهنة نظرية حول متوسطات ريس للتوسيعات بالنسبة لقواعد ريس والتي توسع النتائج السابقة لـ ( لوي و طاهر ) على مؤثر شرودنكر الى مؤثر تفاضلي من الرتبة الرابعة.