

UDC 519.7

## Complete Caps in Affine Geometry $AG(n, 3)$

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### Abstract

We consider the problem of constructing complete caps in affine geometry  $AG(n, 3)$  of dimension  $n$  over the field  $F_3$  of order three. We will take the elements of  $F_3$  to be 0, 1 and 2. A cap is a set of points, no three of which are collinear. Using the concept of  $P_n$ -set, we give two new methods for constructing complete caps in affine geometry  $AG(n, 3)$ . These methods lead to some new upper and lower bounds on the possible minimal and maximal cardinality of complete caps in affine geometry  $AG(n, 3)$ .

**Keywords:** Affine geometry, Projective geometry, Cap, Complete cap.

### 1. Introduction

A cap in an affine geometry  $AG(n, q)$  or in a projective geometry  $PG(n, q)$  over a finite field  $F_q$  is a set of points no three of which are collinear. A cap is called complete when it cannot be extended to a large cap. The central problem in the theory of caps is to find the maximal and minimal sizes of caps in the affine geometry  $AG(n, q)$  or in the projective geometry  $PG(n, q)$ . In this paper,  $s_{n,q}$  and  $s'_{n,q}$  denote the size of the largest caps in  $AG(n, q)$  and  $PG(n, q)$ , respectively. Presently, only the following exact values are known:  $s_{n,2} = s'_{n,2} = 2^n$ ,  $s_{2,q} = s'_{2,q} = q + 1$  if  $q$  is odd,  $s_{2,q} = s'_{2,q} = q + 2$  if  $q$  is even, and  $s'_{3,q} = q^2 + 1$ ,  $s_{3,q} = q^2$  [1, 2]. Aside from these general results, the precise values are known only in the following cases:  $s_{4,3} = s'_{4,3} = 20$  [3],  $s'_{5,3} = 56$  [4],  $s_{5,3} = 45$  [5],  $s'_{4,4} = 41$  [6],  $s_{6,3} = 112$  [7]. In the other cases, only lower and upper bounds on the sizes of caps in  $AG(n, q)$  and  $PG(n, q)$  are known. Finding the exact value for  $s_{n,q}$  and  $s'_{n,q}$  in the general case seems to be a very hard problem [8–10]. The only complete cap in  $AG(n, 2)$  is the whole  $AG(n, 2)$ . The trivial lower bound for the size of the smallest complete cap in  $AG(n, q)$  is  $\sqrt{2}q^{\frac{n-1}{2}}$ . For even  $q$  there exist complete caps in geometry  $AG(n, q)$  with less than  $q^{\frac{n}{2}}$  points. But for odd  $q$  complete caps in  $AG(n, q)$  with less than  $q^{\frac{n}{2}}$

points are known to exist [11, 12] only for  $n = 0(\bmod 4)$ ,  $n = 2(\bmod 4)$ . For more information about complete caps, for small values  $n$  and  $q$ , we refer the reader to [10–13]. Note that the problem of determining the minimum size of a complete cap in a given geometry is of particular interest in Coding theory. Using the concept of a  $P_n$ -set, which was introduced by the author in 2015 [14], we give two new methods for constructing complete caps in the affine geometry  $AG(n, 3)$ . These methods yield some new upper and lower bounds on the possible minimal and maximal sizes of complete caps in the affine geometry  $AG(n, 3)$ .

## 2. Main Results

We will write the points of  $AG(n, q)$  in the following way:  $\mathbf{x} = (x_1, \dots, x_n)$ , and let us denote by  $\mathbf{0} = (0, \dots, 0)$  the origin point of the geometry  $AG(n, 3)$ . It is easy to check that if  $\mathcal{S}$  is a cap in  $AG(n, 3)$ , then  $\alpha + \beta + \gamma \neq \mathbf{0} \pmod{3}$  for every triple of distinct points  $\alpha, \beta, \gamma \in \mathcal{S}$ . Let's denote by  $B_n = \{\alpha = (\alpha_1, \dots, \alpha_n) | \alpha_i = 1, 2\}$  and by  $P_n$  the set of points of  $AG(n, 3)$  satisfying the following two conditions:

- i) for any two distinct points  $\alpha, \beta \in P_n$ , there exists  $i$  ( $1 \leq i \leq n$ ) such that  $\alpha_i = \beta_i = 0$ ,
- ii) for any triple of distinct points  $\alpha, \beta, \gamma \in P_n$ ,  $\alpha + \beta + \gamma \neq \mathbf{0} \pmod{3}$ .

We say  $P_n$  to be complete when it cannot be extended to a larger one. We will define the concatenation of the points of the sets in the following way. Let  $A \subset AG(n, 3)$  and  $B \subset AG(m, 3)$ . We form a new set  $AB \subset AG(n + m, 3)$  consisting of all points  $\alpha = (\alpha_1, \dots, \alpha_n, \alpha_{n+1}, \dots, \alpha_{n+m})$ , where  $\alpha^{(1)} = (\alpha_1, \dots, \alpha_n) \in A$  and  $\alpha^{(2)} = (\alpha_{n+1}, \dots, \alpha_{n+m}) \in B$ . In a similar way, one can define the concatenation of the points for any number of sets.

**Claim 1.** Note that if  $x, y, z \in F_3$ , then  $x + y + z = 0 \pmod{3}$  if and only if  $x = y = z$  or they are pairwise distinct numbers.

The following two theorems, which we need, are proven in [16, 17].

**Theorem 1:** *The following recurrence relation  $P_n = P_{n_1}P_{n_2}B_{n_3} \cup P_{n_1}B_{n_2}P_{n_3} \cup B_{n_1}P_{n_2}P_{n_3}$ , with initial sets  $P_1 = \{(0)\}$ ,  $P_2 = \{(0, 1), (0, 2)\}$  and  $n = \sum_{j=1}^3 n_j$ , yields a complete  $P_n$  set.*

Having the sets  $P_{n_1}, P_{n_2}, P_{n_3}, P_{n_4}, P_{n_5}, P_{n_6}$  and  $B_{n_1}, B_{n_2}, B_{n_3}, B_{n_4}, B_{n_5}, B_{n_6}$ , let us form the following ten sets, by concatenation of the points of the sets.

$$\begin{aligned}
 A_1 &= P_{n_1}P_{n_2}B_{n_3}B_{n_4}B_{n_5}P_{n_6}, & A_2 &= B_{n_1}P_{n_2}P_{n_3}P_{n_4}B_{n_5}B_{n_6}, \\
 A_3 &= P_{n_1}B_{n_2}P_{n_3}B_{n_4}P_{n_5}B_{n_6}, & A_4 &= B_{n_1}B_{n_2}P_{n_3}P_{n_4}B_{n_5}P_{n_6}, \\
 A_5 &= B_{n_1}B_{n_2}P_{n_3}B_{n_4}P_{n_5}P_{n_6}, & A_6 &= B_{n_1}P_{n_2}B_{n_3}P_{n_4}P_{n_5}B_{n_6}, \\
 A_7 &= B_{n_1}P_{n_2}B_{n_3}B_{n_4}P_{n_5}P_{n_6}, & A_8 &= P_{n_1}B_{n_2}B_{n_3}P_{n_4}P_{n_5}B_{n_6}, \\
 A_9 &= P_{n_1}B_{n_2}B_{n_3}P_{n_4}B_{n_5}P_{n_6}, & A_{10} &= P_{n_1}P_{n_2}P_{n_3}B_{n_4}B_{n_5}B_{n_6}.
 \end{aligned}$$

**Theorem 2:** *The following recurrence relation  $P_n = \cup_{i=1}^{10} A_i$ , with initial sets  $P_1 = \{(0)\}$ ,  $P_2 = \{(0, 1), (0, 2)\}$  and  $n = \sum_{i=1}^6 n_i$  yields a complete  $P_n$  set.*

**Claim 2.** Note that from the construction of  $P_n$  in both theorems it follows that for every  $i$  ( $1 \leq i \leq n$ ), if the point  $\mathbf{p} = (p_1, \dots, p_i, \dots, p_n) \in P_n$  and  $p_i \neq 0$ , then, also, the point  $\mathbf{p}' = (p_1, \dots, p_i^{-1}, \dots, p_n) \in P_n$ , where  $p_i^{-1}$  is the additive inverse of  $p_i$  in the field  $F_3$ .

The following two main theorems without proofs were first presented at CSIT 2015 in a weak form [14], that they yield caps. But at CSIT 2017 they were presented with a strong conclusion that they yield complete caps [15]. In this paper, we give their complete proofs.

**Theorem 3:** *If  $P_n$  and  $P_m$  are constructed either by Theorem 1 or by Theorem 2, then for the given natural numbers  $n$  and  $m$ , the set  $S = P_n B_m \cup B_n P_m$  is a complete cap in the geometry  $AG(n + m, 3)$ .*

**Proof.** First of all we will prove that the set  $S = P_n B_m \cup B_n P_m$  is a cap. Suppose, to the contrary, that  $S$  is not a cap. Then there is a triple of distinct points  $\alpha, \beta, \gamma \in S$ , such that  $\alpha + \beta + \gamma = \mathbf{0}(\text{mod } 3)$ . Let's represent the points  $\alpha, \beta, \gamma$  as  $\alpha = \alpha^{(1)}\alpha^{(2)}$ ,  $\beta = \beta^{(1)}\beta^{(2)}$  and  $\gamma = \gamma^{(1)}\gamma^{(2)}$ , respectively, where  $\alpha^{(1)} = (\alpha_1, \dots, \alpha_n)$ ,  $\alpha^{(2)} = (\alpha_{n+1}, \dots, \alpha_{n+m})$ ,  $\beta^{(1)} = (\beta_1, \dots, \beta_n)$ ,  $\beta^{(2)} = (\beta_{n+1}, \dots, \beta_{n+m})$ ,  $\gamma^{(1)} = (\gamma_1, \dots, \gamma_n)$  and  $\gamma^{(2)} = (\gamma_{n+1}, \dots, \gamma_{n+m})$ . Thus, we obtain  $\alpha^{(1)} + \beta^{(1)} + \gamma^{(1)} = \mathbf{0}(\text{mod } 3)$  and  $\alpha^{(2)} + \beta^{(2)} + \gamma^{(2)} = \mathbf{0}(\text{mod } 3)$ . If all three points  $\alpha, \beta, \gamma \in P_n B_m$ , then it follows that  $\alpha^{(1)}, \beta^{(1)}, \gamma^{(1)} \in P_n$  and  $\alpha^{(2)}, \beta^{(2)}, \gamma^{(2)} \in B_m$ . The definition of the set  $P_n$  implies that  $\alpha^{(1)} = \beta^{(1)} = \gamma^{(1)}$  and Claim 1 implies that  $\alpha^{(2)} = \beta^{(2)} = \gamma^{(2)}$ . Therefore,  $\alpha = \beta = \gamma$ , which contradicts that  $\alpha, \beta$  and  $\gamma$  are pairwise distinct points. In the same manner, one can prove the case, when all three points  $\alpha, \beta, \gamma \in B_n P_m$ , is impossible. Now let us assume that two of these points belong to one set (say  $\alpha, \beta \in P_n B_m$ ) and the third point  $\gamma$  belongs to the other set (say  $\gamma \in B_n P_m$ ). By definition of  $P_n$  there is  $i$ ,  $1 \leq i \leq n$ , so that  $\alpha_i = \beta_i = 0$ . But, by definition of  $B_n$ ,  $\gamma_i = 1$  or  $2$ . Hence,  $\alpha_i + \beta_i + \gamma_i \neq 0(\text{mod } 3)$ , which contradicts that  $\alpha + \beta + \gamma = \mathbf{0}(\text{mod } 3)$ . In a similar way, one can prove the case when two points belong to  $B_n P_m$  and the third one belongs to  $P_n B_m$  is impossible. Therefore,  $S$  is a cap.

We will prove the completeness of  $S$  again by contradiction. Suppose that there is a point  $\alpha = (\alpha_1, \dots, \alpha_n, \alpha_{n+1}, \dots, \alpha_{n+m})$ , such that  $\alpha \notin S$  and  $S \cup \{\alpha\}$  is a cap. Let's represent the point  $\alpha$  as  $\alpha = \alpha^{(1)}\alpha^{(2)}$ , where  $\alpha^{(1)} = (\alpha_1, \dots, \alpha_n)$ ,  $\alpha^{(2)} = (\alpha_{n+1}, \dots, \alpha_{n+m})$ . The following two cases are possible.

**Case 1.** At least one of the sets  $P_n \cup \{\alpha^{(1)}\}$  or  $P_m \cup \{\alpha^{(2)}\}$  satisfies the condition i). Assume that the set  $P_n \cup \{\alpha^{(1)}\}$  satisfies the condition i). If  $\alpha^{(1)} \in P_n$ , then we can choose two points  $\mathbf{x}, \mathbf{y} \in B_m$  in the following way. If  $\alpha_i = 0$ , then we will assume that  $x_i = 1$  and  $y_i = 2$ , otherwise  $x_i = y_i = \alpha_i$ ,  $n + 1 \leq i \leq n + m$ . Therefore,  $\alpha^{(2)} \notin B_m$ , since  $\alpha \notin S$  and  $\alpha^{(1)} \in P_n$ . Hence,  $\alpha^{(2)}, \mathbf{x}$  and  $\mathbf{y}$  are pairwise distinct points. It is not difficult to see that  $\alpha^{(1)}\mathbf{x}, \alpha^{(1)}\mathbf{y} \in P_n B_m$ . Claim 1 implies that  $\alpha^{(1)}\alpha^{(2)} + \alpha^{(1)}\mathbf{x} + \alpha^{(1)}\mathbf{y} = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup$

$\{\alpha\}$  is a cap. If  $\alpha^{(1)} \notin P_n$ , then the completeness of the  $P_n$  implies that there are two distinct points  $\beta, \gamma \in P_n$ , such that  $\alpha^{(1)} + \beta + \gamma = \mathbf{0}(\text{mod } 3)$ . Now, as described above, we will choose two points  $\mathbf{x}, \mathbf{y} \in B_m$  in the following way. If  $\alpha_i = 0$ , then we will take  $x_i = 1$  and  $y_i = 2$ , otherwise  $x_i = y_i = \alpha_i$ ,  $n + 1 \leq i \leq n + m$ . The choice of the points  $\mathbf{x}, \mathbf{y} \in B_m$  and  $\alpha^{(2)} + \mathbf{x} + \mathbf{y} = \mathbf{0}(\text{mod } 3)$ . Therefore,  $\alpha^{(1)}\alpha^{(2)} + \beta\mathbf{x} + \gamma\mathbf{y} = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. Similarly, one can prove the case, when the set  $P_m \cup \{\alpha^{(2)}\}$  satisfies the condition i), is impossible.

**Case 2.** Both sets  $P_n \cup \{\alpha^{(1)}\}$  and  $P_m \cup \{\alpha^{(2)}\}$  do not satisfy the condition i). Therefore, the condition i) for the set  $P_n \cup \{\alpha^{(1)}\}$  follows that there is a point  $\beta \in P_n$ , such that if  $\alpha_i = 0$ , then  $\beta_i \neq 0$  and if  $\beta_i = 0$ , then  $\alpha_i \neq 0$ ,  $1 \leq i \leq n$ . We will choose the point  $\mathbf{x} \in B_n$  in the following way. If  $\alpha_i = 0$ , then  $x_i = \beta_i^{-1}$  and if  $\beta_i = 0$ , then  $x_i = \alpha_i^{-1}$ , otherwise, using Claim 2, we can assume that  $x_i = \beta_i = \alpha_i$ ,  $1 \leq i \leq n$ . By the same reason, the condition i) for the set  $P_m \cup \{\alpha^{(2)}\}$  implies that there is a point  $\gamma \in P_m$ , so that if  $\alpha_i = 0$ , then  $\gamma_i \neq 0$  and if  $\gamma_i = 0$ , then  $\alpha_i \neq 0$ ,  $n + 1 \leq i \leq n + m$ . In the same manner, we will choose the point  $\mathbf{y} \in B_m$ . If  $\alpha_i = 0$ , then  $y_i = \gamma_i^{-1}$  and if  $\gamma_i = 0$ , then  $y_i = \alpha_i^{-1}$ , otherwise, by Claim 2, we can assume that  $y_i = \gamma_i = \alpha_i$ ,  $n + 1 \leq i \leq n + m$ . It is obvious that  $\beta\mathbf{y} \in P_n B_m$  and  $\mathbf{x}\gamma \in B_n P_m$ . The choice of the points  $\mathbf{x}, \mathbf{y}$  implies that  $\alpha^{(1)} + \beta + \mathbf{x} = \mathbf{0}(\text{mod } 3)$  and  $\alpha^{(2)} + \gamma + \mathbf{y} = \mathbf{0}(\text{mod } 3)$ . Therefore,  $\alpha^{(1)}\alpha^{(2)} + \beta\mathbf{y} + \mathbf{x}\gamma = \mathbf{0}(\text{mod } 3)$ , which again contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. □

**Corollary 1:** For the given natural numbers  $n$  and  $m$ ,  $s_{n+m,3} \geq |P_n||B_m| + |B_n||P_m|$ .

**Corollary 2:** For every natural number  $n$ ,  $s_{n+1,3} \geq 2|P_n| + |B_n|$ .

**Theorem 4:** If  $P_n$  and  $P_m$  are constructed by Theorem 1 or by Theorem 2, then for the given natural numbers  $n$  and  $m$ ,  $S = P_n P_m \{0\} \cup P_n B_m \{1\} \cup B_n P_m \{1\} \cup B_{n+m} \{2\}$  is a complete cap in the geometry  $AG(n + m + 1, 3)$ .

**Proof.** First we will prove that the set  $S = P_n P_m \{0\} \cup P_n B_m \{1\} + B_n P_m \{1\} + B_{n+m} \{2\}$  is a cap by contradiction. Assume that there are three distinct points  $\alpha = (\alpha_1, \dots, \alpha_n, \alpha_{n+1}, \dots, \alpha_{n+m}, \alpha_{n+m+1})$ ,  $\beta = (\beta_1, \dots, \beta_n, \beta_{n+1}, \dots, \beta_{n+m}, \beta_{n+m+1})$ ,  $\gamma = (\gamma_1, \dots, \gamma_n, \gamma_{n+1}, \dots, \gamma_{n+m}, \gamma_{n+m+1}) \in S$ , such that  $\alpha + \beta + \gamma = \mathbf{0}(\text{mod } 3)$ . Therefore,  $\alpha^{(1)} + \beta^{(1)} + \gamma^{(1)} = \mathbf{0}(\text{mod } 3)$ ,  $\alpha^{(2)} + \beta^{(2)} + \gamma^{(2)} = \mathbf{0}(\text{mod } 3)$  and  $\alpha_{n+m+1} + \beta_{n+m+1} + \gamma_{n+m+1} = \mathbf{0}(\text{mod } 3)$ , where  $\alpha^{(1)} = (\alpha_1, \dots, \alpha_n)$ ,  $\alpha^{(2)} = (\alpha_{n+1}, \dots, \alpha_{n+m})$ ,  $\beta^{(1)} = (\beta_1, \dots, \beta_n)$ ,  $\beta^{(2)} = (\beta_{n+1}, \dots, \beta_{n+m})$ ,  $\gamma^{(1)} = (\gamma_1, \dots, \gamma_n)$  and  $\gamma^{(2)} = (\gamma_{n+1}, \dots, \gamma_{n+m})$ . Claim 1 implies that  $\alpha_{n+m+1} = \beta_{n+m+1} = \gamma_{n+m+1}$  or  $\alpha_{n+m+1}$ ,  $\beta_{n+m+1}$ , and  $\gamma_{n+m+1}$  are pairwise distinct numbers. Hence, the following four cases are possible.

**Case 1.**  $\alpha_{n+m+1} = \beta_{n+m+1} = \gamma_{n+m+1} = 0$ . Therefore,  $\alpha, \beta, \gamma \in P_n P_m \{0\}$ ,  $\alpha^{(1)}, \beta^{(1)}, \gamma^{(1)} \in P_n$  and  $\alpha^{(2)}, \beta^{(2)}, \gamma^{(2)} \in P_m$ . From the definition of  $P_n$  and  $P_m$  and the two relations  $\alpha^{(1)} + \beta^{(1)} + \gamma^{(1)} = \mathbf{0}(\text{mod } 3)$ ,  $\alpha^{(2)} + \beta^{(2)} + \gamma^{(2)} = \mathbf{0}(\text{mod } 3)$  it follows that  $\alpha^{(1)} = \beta^{(1)} = \gamma^{(1)}$  and

$\alpha^{(2)} = \beta^{(2)} = \gamma^{(2)}$ . Hence,  $\alpha = \beta = \gamma$ , which contradicts the assumption that  $\alpha, \beta, \gamma$  are pairwise distinct points.

**Case 2.**  $\alpha_{n+m+1} = \beta_{n+m+1} = \gamma_{n+m+1} = 1$ . Assume that  $\alpha, \beta, \gamma \in P_n B_m \{1\}$ . Then  $\alpha^{(1)}, \beta^{(1)}, \gamma^{(1)} \in P_n$  and  $\alpha^{(2)}, \beta^{(2)}, \gamma^{(2)} \in B_m$ . The definition of  $P_n$  implies that  $\alpha^{(1)} = \beta^{(1)} = \gamma^{(1)}$ , since  $\alpha^{(1)} + \beta^{(1)} + \gamma^{(1)} = \mathbf{0}(\text{mod } 3)$ . Because  $\alpha^{(2)} + \beta^{(2)} + \gamma^{(2)} = \mathbf{0}(\text{mod } 3)$ , Claim 1 implies that  $\alpha^{(2)} = \beta^{(2)} = \gamma^{(2)}$ . Therefore,  $\alpha = \beta = \gamma$ , which, again contradicts the assumption that  $\alpha, \beta, \gamma$  are pairwise distinct points. Similarly, one can prove that the case is impossible, when  $\alpha, \beta, \gamma \in B_n P_m \{1\}$ . Therefore, two points, say  $\alpha, \beta \in P_n B_m \{1\}$  and  $\gamma \in B_n P_m \{1\}$ . The definition of  $P_n$  implies that there is  $i$ , such that  $\alpha_i = \beta_i = 0$ ,  $1 \leq i \leq n$ . But by the definition of  $B_n$ ,  $\gamma_i = 1$  or  $2$ . Hence,  $\alpha_i + \beta_i + \gamma_i \neq 0(\text{mod } 3)$ , which contradicts that  $\alpha + \beta + \gamma = \mathbf{0}(\text{mod } 3)$ . In a similar manner, one can prove that the case is impossible, when two points from  $\alpha, \beta$  and  $\gamma$  belong to  $B_n P_m$  and the third one belongs to  $P_n B_m$ . Therefore,  $S$  is a cap.

**Case 3.**  $\alpha_{n+m+1} = \beta_{n+m+1} = \gamma_{n+m+1} = 2$ . Therefore  $\alpha, \beta, \gamma \in B_{n+m} \{2\}$ . Hence,  $\alpha^{(1)} \alpha^{(2)}, \beta^{(1)} \beta^{(2)}, \gamma^{(1)} \gamma^{(2)} \in B_{n+m}$  and  $\alpha^{(1)} \alpha^{(2)} + \beta^{(1)} \beta^{(2)} + \gamma^{(1)} \gamma^{(2)} = \mathbf{0}(\text{mod } 3)$ . Claim 1 implies that  $\alpha^{(1)} \alpha^{(2)} = \beta^{(1)} \beta^{(2)} = \gamma^{(1)} \gamma^{(2)}$ . This yields  $\alpha = \beta = \gamma$ , which, again contradicts the assumption that  $\alpha, \beta, \gamma$  are pairwise distinct points.

**Case**  $\alpha_{n+m+1}, \beta_{n+m+1}$  and  $\gamma_{n+m+1}$  are pairwise distinct numbers. Without loss of generality, let us assume that  $\alpha_{n+m+1} = 0$ ,  $\beta_{n+m+1} = 1$  and  $\gamma_{n+m+1} = 2$ . Therefore,  $\alpha \in P_n P_m \{0\}$ ,  $\beta \in P_n B_m \{1\}$  or  $\beta \in B_n P_m \{1\}$  and  $\gamma \in B_{n+m} \{2\}$ . If  $\beta \in P_n B_m \{1\}$ , then  $\alpha^{(1)}, \beta^{(1)} \in P_n$ . Hence, the definition of  $P_n$  implies that there is  $i$ , such that  $\alpha_i = \beta_i = 0$ ,  $1 \leq i \leq n$ . But, by the definition of  $B_n$ ,  $\gamma_i = 1$  or  $2$ . Therefore,  $\alpha_i + \beta_i + \gamma_i \neq 0(\text{mod } 3)$ , which contradicts that  $\alpha^{(1)} + \beta^{(1)} + \gamma^{(1)} = \mathbf{0}(\text{mod } 3)$ . The last relation, in turn, implies that  $\alpha + \beta + \gamma \neq \mathbf{0}(\text{mod } 3)$ . In a similar manner, one can prove the case when  $\beta \in B_n P_m \{1\}$  is impossible. Hence,  $S$  is a cap.

Now we will prove the completeness of  $S$  also by contradiction. Let us assume that there is a point  $\alpha = (\alpha_1, \dots, \alpha_n, \alpha_{n+1}, \dots, \alpha_{n+m}, \alpha_{n+m+1})$ , such that  $\alpha \notin S$  and  $S \cup \{\alpha\}$  is a cap. The following three cases are possible.

**Case**  $\alpha_{n+m+1} = 2$ . Since  $\alpha \notin S$ , we have  $(\alpha_1, \dots, \alpha_n, \alpha_{n+1}, \dots, \alpha_{n+m}) \notin B_{n+m}$ . We can choose two points  $\mathbf{x}, \mathbf{y} \in B_{n+m} \{2\}$ , such that, if  $\alpha_i = 0$  then  $x_i = 2$  and  $y_i = 1$ , otherwise  $x_i = y_i = \alpha_i$ ,  $1 \leq i \leq n + m$ . It is obvious that  $\mathbf{x}\{2\}, \mathbf{y}\{2\} \in B_{n+m} \{2\}$  and  $\alpha, \mathbf{x}\{2\}, \mathbf{y}\{2\}$  are pairwise distinct points. Claim 1 implies that  $\mathbf{x}\{2\} + \mathbf{y}\{2\} + \alpha = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup \{\alpha\}$  is a cap.

**Case**  $\alpha_{n+m+1} = 1$ . Let's represent the point  $\alpha$  as  $\alpha = \alpha^{(1)} \alpha^{(2)} \{1\}$ , where  $\alpha^{(1)} = (\alpha_1, \dots, \alpha_n)$  and  $\alpha^{(2)} = (\alpha_{n+1}, \dots, \alpha_{n+m})$ . Assume that at least one of the sets  $P_n \cup \{\alpha^{(1)}\}$  or  $P_m \cup \{\alpha^{(2)}\}$  satisfies the condition i), say  $P_n \cup \{\alpha^{(1)}\}$ . First, suppose that  $\alpha^{(1)} \notin P_n$ . Then the completeness of the set  $P_n$  follows that there are two points  $\beta, \gamma \in P_n$ , such that  $\beta + \gamma + \alpha^{(1)} = \mathbf{0}(\text{mod } 3)$ . We will choose two points  $\mathbf{x}, \mathbf{y} \in B_m$  in the following way. If  $\alpha_i = 0$ , then  $x_i = 1$  and  $y_i = 2$ , otherwise  $x_i = y_i = \alpha_i$ ,  $n + 1 \leq i \leq n + m$ . From the choice of the points  $\mathbf{x}, \mathbf{y}$  it follows that

$\mathbf{x}, \mathbf{y} \in B_m$  and  $\alpha^{(2)} + \mathbf{x} + \mathbf{y} = \mathbf{0}(\text{mod } 3)$ . Therefore,  $\alpha^{(1)}\alpha^{(2)}\{1\} + \beta\mathbf{x}\{1\} + \gamma\mathbf{y}\{1\} = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. Otherwise, if  $\alpha^{(1)} \in P_n$ , then  $\alpha^{(2)} \notin B_m$ , because  $\alpha \notin S$ . Then it is easy to see that  $\alpha^{(1)}\alpha^{(2)}\{1\} + \alpha^{(1)}\mathbf{x}\{1\} + \alpha^{(1)}\mathbf{y}\{1\} = \mathbf{0}(\text{mod } 3)$ , which, again contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. Similarly, one can prove the case, when the set  $P_m \cup \{\alpha^{(2)}\}$  satisfies the condition i) is impossible. Therefore, both sets  $P_n \cup \{\alpha^{(1)}\}$  and  $P_m \cup \{\alpha^{(2)}\}$  do not satisfy the condition i). Hence, there is a point  $\beta \in P_n$ , (respectively,  $\gamma \in P_m$ ), such that if  $\alpha_i = 0$ , then  $\beta_i \neq 0$  and if  $\beta_i = 0$ , then  $\alpha_i \neq 0$ ,  $1 \leq i \leq n$  (respectively, if  $\alpha_i = 0$ , then  $\gamma_i \neq 0$  and if  $\gamma_i = 0$ , then  $\alpha_i \neq 0$ ,  $n + 1 \leq i \leq n + m$ ). First, let's choose the point  $\mathbf{x} \in B_n$  in the following way. If  $\alpha_i = 0$ , then  $x_i = \beta_i^{-1}$  and if  $\beta_i = 0$ , then  $x_i = \alpha_i^{-1}$ , otherwise, by Claim 2, we can assume that  $x_i = \beta_i = \alpha_i$ ,  $1 \leq i \leq n$ . In the same manner, we will choose the point  $\mathbf{y} \in B_m$ . If  $\alpha_i = 0$ , then  $y_i = \gamma_i^{-1}$  and if  $\gamma_i = 0$ , then  $y_i = \alpha_i^{-1}$ , otherwise, using Claim 2, we can assume that  $y_i = \gamma_i = \alpha_i$ ,  $n + 1 \leq i \leq n + m$ ). The choice of the points  $\mathbf{x}$  and  $\mathbf{y}$  implies that  $\alpha^{(1)}\alpha^{(2)}\{1\} + \beta\mathbf{y}\{1\} + \gamma\mathbf{x}\{1\} = \mathbf{0}(\text{mod } 3)$ , which again contradicts the assumption that  $S \cup \{\alpha\}$  is a cap.

**Case**  $\alpha_{n+m+1} = 0$ . Assume that at least one of the sets  $P_n \cup \{\alpha^{(1)}\}$  or  $P_m \cup \{\alpha^{(2)}\}$  does not satisfy the condition i), say the set  $P_n \cup \{\alpha^{(1)}\}$ . Therefore, the condition i) implies that there is a point  $\beta \in P_n$ , such that, if  $\alpha_i = 0$ , then  $\beta_i \neq 0$  and if  $\beta_i = 0$ , then  $\alpha_i \neq 0$ ,  $1 \leq i \leq n$ . We will choose the points  $\mathbf{z}^{(1)} \in B_n$  and  $\mathbf{z}^{(2)}, \mathbf{y} \in B_m$  in the following way. First let's choose  $\mathbf{z}^{(1)}$ . If  $\alpha_i = 0$ , then  $z_i = \beta_i^{-1}$  and if  $\beta_i = 0$ , then  $z_i = \alpha_i^{-1}$ , otherwise, using Claim 2, we will assume that  $z_i = \beta_i = \alpha_i$ ,  $1 \leq i \leq n$ . Now we will choose the points  $\mathbf{z}^{(2)}, \mathbf{y} \in B_m$  in the following way. If  $\alpha_i = 0$ , then we will assume that  $z_i = 1$  and  $y_i = 2$ , otherwise  $z_i = y_i = \alpha_i$ ,  $n + 1 \leq i \leq n + m$ . It is easy to see that  $\beta\mathbf{y}\{1\} \in P_n B_m\{1\}, \mathbf{z}^{(1)}\mathbf{z}^{(2)}\{2\} \in B_{n+m}\{2\}$ . The choice of the points  $\mathbf{z}^{(1)}, \mathbf{z}^{(2)}$  and  $\mathbf{y}$  imply that  $\alpha^{(1)}\alpha^{(2)}\{0\} + \beta\mathbf{y}\{1\} + \mathbf{z}^{(1)}\mathbf{z}^{(2)}\{2\} = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. Similarly, one can prove the case is impossible, when the set  $P_m \cup \{\alpha^{(2)}\}$  does not satisfy the condition i). Therefore, both sets  $P_n \cup \{\alpha^{(1)}\}$  and  $P_m \cup \{\alpha^{(2)}\}$  are satisfying the condition i). Since  $\alpha \notin S$ , therefore either  $\alpha^{(1)} \notin P_n$  or  $\alpha^{(2)} \notin P_m$ . If  $\alpha^{(1)} \notin P_n$  and  $\alpha^{(2)} \in P_m$ , then the completeness of  $P_n$  follows that there are two points  $\mathbf{x}, \mathbf{y} \in P_n$ , so that  $\mathbf{x} + \mathbf{y} + \alpha^{(1)} = \mathbf{0}(\text{mod } 3)$ . Since  $\mathbf{x}, \mathbf{y} \in P_n$  and  $\alpha^{(2)} \in P_m$ , we have  $\mathbf{x}\alpha^{(2)}, \mathbf{y}\alpha^{(2)} \in P_n P_m$  and  $\mathbf{x}\alpha^{(2)}\{0\} + \mathbf{y}\alpha^{(2)}\{0\} + \alpha^{(1)}\alpha^{(2)}\{0\} = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. The case, when  $\alpha^{(2)} \notin P_m$  and  $\alpha^{(1)} \in P_n$  is analogous to the above described one and therefore is impossible. Hence,  $\alpha^{(1)} \notin P_n$  and  $\alpha^{(2)} \notin P_m$ . Therefore, from the completeness of  $P_n$  and  $P_m$  it follows that there are points  $\beta, \gamma \in P_n$  and  $\delta, \theta \in P_m$ , so that  $\beta + \gamma + \alpha^{(1)} = \mathbf{0}(\text{mod } 3)$  and  $\delta + \theta + \alpha^{(2)} = \mathbf{0}(\text{mod } 3)$ . The last two relations imply that  $\alpha^{(1)}\alpha^{(2)}\{0\} + \beta\delta\{0\} + \gamma\theta\{0\} = \mathbf{0}(\text{mod } 3)$ , which contradicts the assumption that  $S \cup \{\alpha\}$  is a cap. □

**Corollary 3:** For the given natural numbers  $n$  and  $m$ ,  $s_{n+m+1,3} \geq |P_n||P_m| + |P_n||B_m| + |B_n||P_m| + |B_{n+m}|$ .

**Corollary 4:**  $s_{5,3} \geq 42$ .

**Proof.** By definition  $P_1 = \{(0)\}$ . From Theorem 1 it follows that  $P_3 = P_{1+1+1} = P_1 P_1 B_1 \cup P_1 B_1 P_1 \cup B_1 P_1 P_1 = \{(0, 0, 1), (0, 0, 2), (0, 1, 0), (0, 2, 0), (1, 0, 0), (2, 0, 0)\}$ . It is easy to see that  $|B_n| = 2^n$ . Therefore,  $s_{5,3} \geq |P_3||P_1| + |P_3||B_1| + |B_3||P_1| + |B_4| = 6 \times 1 + 6 \times 2 + 8 \times 1 + 16 = 42$ .

□

### 3. Conclusion

Notice that the cardinality of  $P_n$  obtained by Theorem 1 (Theorem 2) [16, 17], essentially depends on the representation of  $n$  as the sum of three (six) natural numbers. Presenting the natural numbers as the sum of six natural numbers and applying Theorem 2, for some  $n \geq 6$  in some cases, one can obtain larger complete  $P_n$  sets than those, which are constructed by Theorem 1. It is easy to check that  $|P_1| = 1$ ,  $|P_2| = 2$ , and  $|P_{1+1+1}| = 6$ .  $|P_{2+1+1}| = 12$ ,  $|P_{3+1+1}| = 32$ ,  $|P_{1+1+1+1+1+1}| = 80$ ,  $|P_7| = |P_{3+3+1}| = 168$ ,  $|P_8| = |P_{1+1+1+1+1+3}| = 400$ ,  $|P_9| = |P_{3+3+3}| = 864$ ... It is not difficult to see that the maximal size  $|P_n| > 2^n$ , if  $n > 5$ . Therefore, to construct large complete caps it is convenient to use Corollary 2, but for small complete caps one can use Theorem 4.

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**Submitted 28.03.22, accepted 27.05.2022.**

## Լրիվ գլխարկներ $AG(n, 3)$ աֆինական երկրաչափությունում

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### Ամփոփում

Դիտարկվում է  $n$  չափանի  $AG(n, 3)$  աֆինական երկրաչափությունում լրիվ գլխարկների կառուցման խնդիրը  $F_3 = \{0, 1, 2\}$  դաշտի վրա: Գլխարկը այն կետերի բազմությունն է, որոնցից ոչ մի երեքը համագիծ չեն: Օգտագործելով  $P_n$  բազմության հասկացությունը, մշակվել են լրիվ գլխարկների կառուցման երկու նոր մեթոդներ:

**Բանալի բառեր`** աֆինական երկրաչափություն, պրոյեկտիվ երկրաչափություն, կետեր, գլխարկներ, լրիվ գլխարկներ:

## Полные шапки в аффинной геометрии $AG(n, 3)$

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### Аннотация

Рассматривается задача построения полных шапок в аффинной геометрии  $AG(n, 3)$  размерности  $n$  над полем  $F_3 = \{0, 1, 2\}$ . Шапка — это набор точек, никакие три из которых не коллинеарны. С помощью понятия множества  $P_n$ , разработаны две новые конструкции построения полных шапок.

**Ключевые слова:** аффинная геометрия, проективная геометрия, точки, шапки, полные шапки.